

Docket No.: 50212-511

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Yoshinori YAMAMOTO, et al.

Serial No.:

Group Art Unit:

Filed: July 08, 2003

Examiner:

For: OPTICAL FIBER, DISPERSION COMPENSATOR AND OPTICAL TRANSMISSION  
SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

The references were cited by or submitted to the U.S. Patent and Trademark Office in parent application Serial No. 10/347,147, filed January 21, 2003, which is relied upon for an

**Serial No.:**

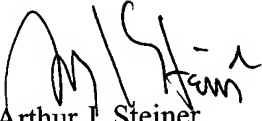
for an earlier filing date under 35 USC 120. Thus, copies of these references are not attached.  
37 CFR 1.98(d).

The relevance of Japanese Patent Application Laid-Open Nos. HEI 10-123342 and 10-115727 is discussed on pages 2 and 3 of the present specification.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (PTO-1449)				ATTY. DOCKET NO. 50212-511		SERIAL NO.	
				APPLICANT Yoshinori YAMAMOTO, et al.			
				FILING DATE July 08, 2003		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code <sub>2</sub> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US	5,887,104 (Corres. to JP-10-115727)	03/23/1999	Suguzaki et al.		
		US	5,361,319	11/1/1994	Antos et al.		
		US	5,999,679	12/7/1999	Antos et al.		
		US	US 6,349,163 B1	02/19/2002	Antos et al.		
		US	5,444,674	09/05/1995	Vengsarkar et al.		
		US	US 6,404,967 B2	06/11/2002	Arai et a.		
		US					
		US					
		US					
		US					
		US					
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes - Number 4 - Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		JP-10-123342 (w/ English Abstract)	05/15/1998	Yasushi Koyano et al.			
		JP-115727 (w/ English Abstract)(Corres. to US 5,887,104)	05/06/1998	Ryuichi Sugizaki et al.			
		JP-10-319266 (w/ English Abstract)	12/04/1998	Kazuhiko Aikawa et al.			
		JP-2002-71995 (w/ English Abstract)	03/12/2002	Takatoshi Kato et al.			
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		D.W. Hawtof et al., "High Figure of Merit Dispersion Compensating Fiber", OFC '96, Postdeadline Paper 10, Corning, Incorporated, Science and Technology Division, pp. 1-9.					
EXAMINER				DATE CONSIDERED			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.